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Mansfield Rule
Certified 2022-2023

Stefan Dandelles and Gregg Breitbart, Presenters at 2016 IBDC Annual Conference

Stefan Dandelles, Managing Partner at KD's Chicago office, and Gregg Breitbart, Managing Partner at KD's Florida office, will be presenting at the 2016 Independent Broker Dealer Consortium (IBDC) Annual Conference. Stefan and Gregg will give a presentation on FINRA and SEC "Hot Topics".

The IBDC seminars are designed to address the pressing issues IBDs and RIAs are faced with, which include; the impact of the new DOL rule, preparing for SEC and FINRA audits, whether to allow the sale of alternative products, how best to document a client's file who may be suffering from diminished capacity, and how to protect against a breach in security, to name a few.

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