



David Baugh - 2019 IBDC Spotlight Series

IBDC (The Independent Broker Dealer Consortium) Spotlight Series - Alternative Product Presentation

David A. Baugh, Co-Managing Partner of the KD Chicago office, will be speaking at the IBDC Spotlight Series Event in NYC on June 20th, 2019.

Location: W Hotel Downtown, 8 Albany Street, Studios 2 and 3. New York, NY.

Seminar:

4:30 pm -6:00 pm ET

Networking Cocktail Hour:

6:00 pm - 7:00 pm ET

Summary

The sale of alternative products is always on FINRA and the SEC's hot topic lists. When the product sponsors experience issues with their businesses or with a particular product, claims invariably follow. Join us as we explore how to continue to engage in the sale of alternative products, with a focus on creating a defensible paper trail and how best to work with your carrier, defense counsel and necessary experts in the likely event a claim is made.

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